

**Horizon Wind Energy LLC's Report of Municipal Officials**  
**With a Known Financial Interest in Property Identified for Wind Farm Development as of August 19, 2009**

*Updated as of May 4, 2010; August 12, 2010; March 17, 2011; May 31, 2011; August 31, 2011; January 12, 2012*

*This Report is provided under Section II of the Code of Conduct Agreement dated August 19, 2009 (the "Code"), between Horizon Wind Energy LLC ("Horizon") and the New York Office of the Attorney General. Information contained in this Report is based on review by Horizon of agreements between New York landowners and Horizon and/or its affiliates, publicly available documents and responses by landowners to Horizon's questionnaires about Municipal Officials. Horizon also relied on the available public records and on the responses to Horizon's questionnaires by some of its landowners. The due diligence process is still ongoing and this Report is subject to further revisions.*

*Monetary consideration ranges provided in the Report are based on the actual payments made or estimates of future payments based on past experience. The actual amounts to be paid under any agreement may vary significantly based on the factors both within and outside the control of Horizon or its affiliates. For lease agreements, such factors and contingencies may include, but are not limited to, (i) commencement of construction of a project, (ii) exercise of the extended term under the lease, (iii) the price of electricity, (iv) the amount of gross revenues actually received by Horizon or its affiliates, (v) the amount of electricity generated by the wind turbines located on the property, as applicable, (vi) any curtailments imposed by the purchaser of electricity or local electrical grid, (vii) involuntary or voluntary shut-down of the project, (viii) the number of operational turbines located on the property, as applicable, (ix) any event of force majeure, and (x) the terms and conditions of the lease agreement. For easements, such factors and contingencies may include, but are not limited to, (i) commencement of construction of a project, (ii) any event of force majeure, and (iii) terms and conditions of the easement agreement. For options, such factors and contingencies may include, but are not limited to, (i) commencement of construction of a project, (ii) exercise of the extended term under the option agreement, and (iii) terms and conditions of the option agreement. For options, additional disclosures would be made upon entry into the leases by Horizon or its affiliates in accordance with Section II of the Code.*

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
Alabama Ledge, Genesee County	Samuel G. Scarborough; Julie Scarborough, wife; and Ercel Scarborough, mother	Town Board Member, Town of Alabama, Genesee County, 1/1/02-12/31/2009	Land lease option agreement with Samuel G. Scarborough, Julie Scarborough, and Ercel Scarborough, effective 11/13/04	Parcel #s: 20.-1-10.2 – Townline Rd., Basom, NY 20.-1-9.1 – Macomber Rd., Basom, NY, 16.-1-91 – Maple Street, Basom, NY, 19.-1-12 – Ledge Rd., Basom, NY, 15.-1-35 – 7438 Gorton Rd., Basom, NY, 20.-1-8 – 7495 Maple Rd., Basom, NY 14013	Co-owner of property i. Under \$5,000
Alabama Ledge, Genesee County	Lorna Klotzbach; Jeanne Klotzbach, mother	Planning Board Member, Town of Alabama, Genesee County, 05/24/04 thru	Land lease option agreement effective 11/05/04, with Jeanne Klotzbach, Lorna's mother; conveyed to	Parcel # 16.-1-86.11 Property address: 7380 Macomber Rd., Oakfield, NY 14125	Lorna Klotzbach is trustee of the Klotzbach Family Trust which has a lease option:

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		12/31/11	Klotzbach Family Trust on 06/30/05.		i. Under \$5,000
Alabama Ledge, Genesee County	Lorna Klotzbach; Wesley Klotzbach, brother	Planning Board Member, Town of Alabama, Genesee County, 05/24/04 thru 12/31/11	Land lease option agreement effective 02/12/05	Parcel #20.-1-23.2 Property address: Galloway Road, Basom, NY	No known financial interest by Lorna Klotzbach in brother's Wesley Klotzbach's option. Under \$5,000
Alabama Ledge, Genesee County	Robert Greene; Deborah A. Greene, wife	Zoning Board of Appeals Member, Town of Alabama, Genesee County, 1/2006-present	Land lease agreement effective 11/11/08	Parcel # 15.-1-29 Property Address: 7056 Gorton Rd., Basom, NY 14013	Co-owner of property vi. \$250,000 to under \$500,000
Alabama Ledge, Genesee County	Mark Williams (alternate) Vivian M. Williams, wife	Zoning Board of Appeals Member, Town of Alabama, Genesee County, 01/13/09 thru 12/31/10	Land lease option agreement effective 02/15/05	Parcel #s 20.-1-14.12, 20.-1-16, Macomber Rd, Batavia, NY 14020	Co-owner of property i. Under \$5,000

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Alabama Ledge, Genesee County	Bruce Naas: Jessica A. Naas, wife	President, Oakfield-Alabama School Board, Town of Alabama, Genesee County, 07/01/09-07/01/10	Lease option agreement with Bruce Naas & Jessica Naas, effective 02/05/05	Parcel # 11-1-33.1 Macomber Rd., Oakfield, NY	Co-owner of property i. Under \$5,000

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Alabama Ledge, Genesee County	Joan M. Phelps; Dennis F. Phelps, husband	Former Town Board Member, Town of Alabama, Genesee County, 01/01/04-12/31/07	Lease option agreement with Joan M. Phelps and Dennis F. Phelps, effective 02/09/09; Lease Option Agreement with Dennis F. Phelps effective 12/03/04	Parcel #s 20.-1-3.1, 20.-1-4.1 – Ledge Rd., Basom, NY 14013 (owned jointly w/Joan)  Parcel #s 15.-1-27.21 - 7096 Gorton Road, Basom, NY 15.-1-44.2 - Kenyon Avenue, Basom, NY 16.-1-92.12 - Maple Street, Basom, NY 16.-1-95, 16.-1-96, 19.-1-24 - Gorton Rd., Basom, NY; 19.-1-11, 19.-1-10, 19.-1-15.21 - Ledge Rd, Basom, NY 19.-1-17.1 - 7648 Gorton Rd., Basom, NY 19.-1-23.2 - 1862 Ledge Rd., Basom, NY 14013	Co-owner of property ii. \$5,000 to under \$20,000

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Alabama Ledge, Genesee County	Gertrude Parker; Donald R. Parker, husband	Member of Grievance Board (Assessment), Town of Alabama, Genesee County, 04/24/06 thru 09/30/11	Lease Agreement effective 03/17/09	Parcel #s 12.-1-13.2, 12.-1-72, & 11.-1-58.1 - Gorton Rd., Basom, NY  Parcel #s 11.-1-62.11, 11.-1-74.119 & 12.-1-71 - 2007 Judge Rd., Basom, NY 14013 (owned jointly)	Co-owner of property vi. \$250,000 to under \$500,000
Alabama Ledge, Genesee County	William Ferrando, Melissa Ryan, sister	Police Officer in Genesee County, 01/1994-present	Neighbor Agreement with Melissa Ryan effective 07/25/07	Parcel #13.-1-5.12 The property address is: 2248 Galloway Rd., Pembroke, NY	No known interest by William Ferrando. Mr. Ferrando's sister has a neighbor agreement: i. Under \$5, 000

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Arkwright Summit; Town of Arkwright	Linda Fairbanks; Douglas Fairbanks Sr is husband,	Town Board Member, Town of Arkwright, Chautauqua County, 1/2008-present	Land lease agreement with Fairdawn Farms (Douglas Fairbanks Sr.) effective 09/21/07 Fairdawn Farms, Inc. (where Linda Fairbanks' son, Douglas Fairbanks is an executive); terminated 11/17/08	Parcel #150-2-23 & 150-2-42 Route 83, Forestville, NY 14062	No known interest by Linda Fairbanks. Mrs. Fairbank's son is an executive at Fairdawn Farms, Inc. which had a lease: i. Under \$5,000
Arkwright Summit; Town of Arkwright	Douglas Fairbanks Jr.; Linda Fairbanks, mother (see above)	Planning Board Member, Town of Arkwright, Chautauqua County, dates unknown	Land lease agreement effective 09/21/07; terminated 11/17/08	Parcel #150-2-23 & 150-2-42 Route 83, Forestville, NY 14062	During the effective term of the lease, executive of Fairdawn Farms, Inc. ii. \$5,000 to under \$20,000

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Arkwright Summit; Town of Arkwright	Claude McAvoy	Town of Arkwright; Planning Board Member, Chautauqua County, 1/14/08- present	Land lease agreement effective 02/10/07	Parcel #s 149-1-19 & 149-1-20 Property address: 9351 Center Rd., Fredonia, NY 14063	Owner of property vi. \$250,000 to under \$500,000
Arkwright Summit; Town of Arkwright	Jami Sorrento; Joseph Sorrento, husband	Planning Board Member, Town of Arkwright, Chautauqua County, 1/14/08-present	Land lease agreement effective 07/19/07	Parcel #132-2-16 Property address: 2934 Route 83, Fredonia, NY14063	Co-owner of property vi. \$250,000 to under \$500,000
Arkwright Summit; Town of Arkwright	Alex Gizowski; Carol Gizowski, wife	Board of Ethics Member, Town of Arkwright, Chautauqua County, 1/15/07-present	Land lease agreement effective 04/14/07	Parcel #2-1-27.2 Property address: 9682 Livermore Rd., Forestville, NY 14062	Co-owner of property vi. \$250,000 to under \$500,000
Arkwright Summit; Town of Arkwright	Douglas Crowell; Alyce Crowell, wife	Zoning Board of Appeals Member, Town of Arkwright, Chautauqua County, 1/1995-12/31/08	Land lease agreement effective 02/10/07	Parcel # 150-1-39 Property address: 1542 Cassadaga Rd., Forestville, NY 14062	Co-owner of property viii. \$1,000,000 or higher

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Arkwright Summit; Town of Arkwright	Joseph Sorrento; Jami Sorrento, wife	Zoning Board of Appeals Member, Town of Arkwright, Chautauqua County, 1/1/09-present	Land lease agreement effective 07/19/07	Parcel #132-2-16 Property address: 2934 Route 83, Fredonia, NY14063	Co-owner of property vi. \$250,000 to under \$500,000
Arkwright Summit; Town of Arkwright	James Potter	Zoning Board of Appeals Member, Town of Arkwright, Chautauqua County, 1/1/2010-12/31/2014	Land lease agreement effective 5/3/2007 Laydown Lease agreement effective 5/22/2008 and expired 5/22/2009	Parcel #132-2-27 Property address: 9630 Center Road, Fredonia, NY 14063	Owner of property vi. \$250,000 to under \$500,000
Arkwright Summit; Town of Arkwright	David Szydlo	Board of Assessment Review Member, Town of Arkwright, Chautauqua County, 1/1/09-present	Land easement agreement in negotiations with David Szydlo	Parcel #149-1-8 and 149-1-12 Property address: 2865 Route 83, Fredonia, NY 14063	Owner of property as beneficiary of Helen Mazur Szydlo ii. \$5,000 to under \$20,000
Arkwright Summit; Town of Arkwright	David P. Sanders; Alice M. Sanders, wife	Chairman, Ethics Committee, Town of Arkwright, Chautauqua County,	Land lease agreement with David P. Sanders and Alice M. Sanders, effective	Parcel # 150-1-32 Property address: 2301 Route 83, Fredonia, NY 14063	Co-owner with Alice M. Sanders ii. \$5,000 to under

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		01/2007-present	08/07/07		\$20,000
Arkwright Summit; Town of Arkwright	Mary Kordon; Thomas Jurczak, brother	Forestville School District School Board Member, Town of Forestville, Chautauqua County, 01/2004-present	Land lease agreement with Thomas Jurczak, effective 05/08/07	Parcel #149-2-15 & 149-2-14 Property address: Center Rd., Forestville, NY 14062	No known interest by Mary Kordon. Mrs. Kordon's brother Thomas Jurczak has a lease: iii. \$20,000 to under \$60,000
Arkwright Summit; Town of Arkwright	Ruth Nichols	Town Historian, Town of Arkwright, Chautauqua County, 1/2005-present	Land lease agreement effective 06/13/07	Parcel #150-1-38 Property address: 2383 Route 83, Fredonia, NY 14063	Owner of property ii. \$5,000 to under \$20,000

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Ashford; Town of Ashford; Cattaraugus County	David G. Cobo; Joan Cobo, wife	Chairman, Building Board of Appeals; Town of Ashford; Cattaraugus County, 12/1989-12/2009	Lease option agreement effective 10/31/03; lease agreement with wife effective 06/17/09	Parcel #s 10.004-1-4.1 - Edies Rd., Ashford, NY & 10.004-1-27.1 – Dutch Hill Rd., West Valley, NY 14171 (owned by David only) Parcel #s 19.004-2-10 – Neff & Dutch Hill Rd., Ashford, NY; #19.004-2-11 – Neff Rd., Ashford, NY; #28.002-2-1.1 – Dutch Hill Rd., Ashford, NY	David Cobo is owner of property under option. Mr. Cobo's wife is the sole owner of the leased property. vi. \$250,000 to under \$500,000
Chateaugay River; Town of Burke, Franklin County	Arnold Lobdell; Trudy J. Lobdell, wife; James Lobdell, brother (see below)	Town Board Member, Town of Burke, Franklin County, 1/1/08-12/31/11	Lease option agreement with Arnold Lobdell, Trudy Lobdell and James Lobdell, effective 08/16/06; terminated 03/12/08. This agreement was entered into prior to Mr. Lobdell being	Parcel #87.-1-16.100 - Pikeville Road, Burke, NY	Co-owner of property ii. \$5,000 to under \$20,000

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			elected to the Town Board.		

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Chateaugay River; Town of Burke, Franklin County	James Lobdell; Arnold Lobdell, brother, Town Board Member (see above);  L. Alan Lobdell, brother	Former Chairman of the Zoning Board of Appeals, Town of Burke, Franklin County, 12/01/89-04/08/08	Lease option agreement with James Lobdell, Arnold Lobdell and Trudy Lobdell, effective 08/16/06; terminated 03/12/08.  Lease option agreement with L. Alan Lobdell, effective 08/29/07	Parcel #87.-1-16.100 - Pikeville Road, Burke, NY  59.-3-6.100 - 5815 State Route 11, Burke, NY and 59.-3-5 - Stewart Rd, Burke, NY 60.-1-6.100 Stewart Rd., Chateaugay, NY 60.-1-10.100, Rt. 11, Chateaugay, NY 60.-1-11, Rt. 11, Chateaugay, NY 12920	Co-owner of property. ii. \$5,000 to under \$20,000  No known interest by James Lobdel. Mr. Lobdel's brother, L. Alan Lobdell has an option: iii.\$20,000 to under \$60,000

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Chateaugay River; Town of Burke, Franklin County	Matthew Carey; Nancy Carey & Mathew D. Carey	Former Zoning Board of Appeals Member, Town of Burke, Franklin County, 08/01/07-11/01/2009	Lease option agreement effective 06/09/07	Parcel #s102.-1-2, 88.-1-5, 88.-1-6 and 88.-2-1 Callahan Road, Burke, NY  #87.-3-1 - Cook Road, Burke, NY  #s87.-3-2 and 87-4-3 - Crippen Road, Burke, NY  #87.-4-2 - Flynn Road, Burke, NY  #88.-2-3 Mary Carey Road, Burke, NY	Co-owner of property iii. \$20,000 to under \$60,000
Chateaugay River; Town of Burke, Franklin County	David Vincent	Town Board Member, Town of Burke, Franklin County, 01/01/01-present	Meteorological Test Tower Agreement effective 11/12/06, expired on 11/12/08.	Parcel #86.-3-7 - 221 Vincent Road, Burke, NY	Owner of property i. under \$5,000

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Chateaugay River; Town of Burke, Franklin County	William Wood; Hamilton F. Wood, father	Town Board Member, Town of Burke, Franklin County, 01/01/00-12/31/07	Two lease option agreements effective 12/08/06; Lease agreement effective 12/11/08; Meteorological Test Tower agreement effective 01/17/07	Parcel #s 73.-3-2 and 73.-3-9 - County Rte. 23 Burke, NY  #73.-3-8 - Sargent Rd., Burke, NY  #87.-3-4 and 88.-1-9 Crippen Road, Burke, NY  #74.-1-17, 73.-2-4 and 73.-3-4 -: County Rte. 23 Burke, NY  #73.-3-7 – Selkirk Road, Burke, NY	No known interest by William Wood in one of Mr. Wood's father's, Hamilton F. Wood's, option: iii. \$20,000 to under \$60,000  William Wood is co-owner of property with interest in the following agreements: Met Tower: ii. \$5,000 to under \$20,000 Option: iii. \$20,000 to under \$60,000 Lease: vii. \$500,000 to under \$1,000,000
Dairy Hills, Town of Covington, Wyoming County	Ryan Swede	Planning Board Member, Town of Covington, Wyoming County, 01/2004-Present	Land lease option agreement effective 03/18/08	Parcel #s 51-1-26, 51-2-20.111 – Lake Leroy Rd., Perry, NY; 52-2-8.112 – Spear Rd., Perry, NY and 52-2-8.2	Owner of property i. Under \$5,000

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				– Winter Rd., Perry, NY 14530	
Dairy Hills, Town of Perry, Wyoming County	Jeff True; Glenn P. True, brother	Planning Board Member, Town of Perry, Wyoming County, 1/1990-present	Collection and distribution line easement with True Farms, Inc. effective 12/21/07	Parcel #88.-1-25 - 7139 Sands Rd., Perry, NY 14530 and #88.-1-30.1 -Route 246, Perry, NY 14530	Jeff True is Vice-President of True Farms, Inc., which has an easement: i. Under \$5,000
Dairy Hills, Town of Perry, Wyoming County	Dave Spencer; John L. Spencer, father; Norma C. Spencer, wife, Town's Historian, 1/1985-present	Zoning Board of Appeals Member, Town of Perry, Wyoming County, 05/2007-present	Land lease option agreement with John Spencer, Dave Spencer's father, effective 04/12/05	Parcel # 99.-2-23 and 99.-2-23 Property address: 6496 Oatka Rd., Castile, NY	No known interest by Dave Spencer. Mr. Spencer's father John Spencer has an option: i. Under \$5,000
Franklin, Town of Franklin, Delaware County	Jeffrey R. Taggart; Lori D. Taggart, wife	Town Board Member, Town of Franklin, Delaware County, 1/2000-present	Lease option agreement effective 03/06/09	Parcel # 143.-1-30 Property address: 249 Merrickville Rd.,	Co-owner of property ii. \$5,000 to under \$20,000

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				Sydney Center, NY 13839	
Franklin, Town of Franklin, Delaware County	John Campbell; Kathy Campbell, wife,	Mayor of Village of Franklin, 4/2008-4/2010; Former Town Board Member, 1/2006-3/2008; Wife is Town Historian; Delaware County, 1/2007-present	Lease option agreement effective 03/30/09	Parcel # 165.-1-26.1  Property address: PO Box 133, Franklin, NY 13775	Co-owner of property ii. \$5,000 to under \$20,000
Jericho Rise, Town of Bellmont, Franklin County	Harley Titus; Sylvia Titus, wife  Marvin Titus, brother	Town Board Member, Town of Bellmont, Franklin County, 01/01/99-present	Lease option agreement effective 01/02/07; Lease agreement effective 10/02/08.  Lease option agreement effective 08/24/06, two separate lease agreements effective 08/15/08 and a	103.-2-5.100 Town Line Road, Bellmont, NY  103.-2-1 190 Titus Road, Bellmont, NY 103.-2-18.100, County Rte. 24, Bellmont, NY	Co-owner of property Option: i. Under \$5,000 Lease: vi. \$250,000 to under \$500,000  No known interest by Harley Titus. Mr. Titus' brother, Marvin Titus, has the following interests: Option: ii. \$5,000 to

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			Meteorological Test Tower License effective 12/19/06	103.-2-2 and 103.-2-3 Town Line Road, Belmont, NY	under \$20,000 Lease 1: viii. Over \$1,000,000 Lease 2: iv. \$60,000 to under \$100,000 Met Tower: ii. \$5,000 to under \$20,000
Jericho Rise, Town of Belmont, Franklin County	Walter Whalen	Former Town Supervisor, Town of Belmont, Franklin County, 01/01/02-12/31/05	Lease option agreement effective 09/08/06 and a Lease agreement effective 10/24/08.	103.-1-3 and 103.-1-4 417 Ponderosa Road, Chateaugay, NY	Owner of property Option: ii. \$5,000 to under \$20,000 Lease: vii. \$500,000 to under \$1,000,000
Jericho Rise, Town of Chateaugay, Franklin County	William Trombly; Mary Beth Trombley, wife	Town Board Member, Town of Chateaugay, Franklin County, 01/01/98-present	Lease option agreement effective 01/03/08	61.-1-6 East Main Street, Chateaugay, NY 61.-1-19.100 State Route 11, Chateaugay, NY	Co-owner of property Option: ii. \$5,000 to under \$20,000
Jericho Rise, Town of Chateaugay, Franklin County	David W. Roach	Chateaugay Central School District President, School Board, Town of Chateaugay, Franklin	Lease Agreement effective 09/01/05	39.-1-3 and 24.-1-1.23 Clinton Mills Road, Churubusco, NY	Mr. Roach is a member of the Wetlands of Clinton LLC, which is a landowner in Marble

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		County, 07/01/05-present			River project. Marble River has 2 WTGs that are in the Chateaugay Central School District. viii. \$1,000,000 or higher
Machias, Town of Machias, Cattaraugus County	Richard Gerlach; Donna C. Gerlach, wife	Building Inspector, Town of Machias, Cattaraugus County, 05/18/09-present	Lease agreement effective 08/13/08, prior to appointment as Building Inspector.	Parcel #s29.004-302.1 and 30.003-1-26.6 Property address: 4792 Canada Hill Rd., Machias, NY 14101	Owner of property vi. \$250,000 to under \$500,000

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Marble River, Town of Clinton, Clinton County	Calvin Sears; see below	Town Board Member, Town of Clinton, Clinton County, 01/01/02-present	Lease Option Agreement effective 09/01/05; Lease Agreement effective 08/11/08	37.-1-5.1 20 Whalen Road, Churubusco, NY	Co-owner of property with Carl Sears, Carol Hoenig and Connie Ryan, all siblings. Option: ii. \$5,000 to under \$20,000 Lease: viii. \$1,000,000 or higher
	Carl Sears, brother		Same as above	Same as above	Co-owner(see ranges above)
	Carol Hoenig, sister		Same as above	Same as above	Co-owner
	Connie Ryan, sister		Same as above	Same as above	(see ranges above) Co-owner
	Justin Sears, son		Collection and Distribution Line Easement Agreement effective 03/03/11	37.-1-5.2 and 37.-1-5.3 36 Whalen Road, Churubusco, NY 12923	No known interest to Calvin Sears. Interest to Justin Sears Under Easement: ii. \$5,000 to under \$20,000

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Marble River, Town of Clinton, Clinton County	Jane Campbell Nichols	Town Board Member, Town of Clinton, Clinton County, 01/01/08-present	Lease option agreement effective 07/01/07; Lease agreement effective 04/28/08	67.-1-4 327 Gagnier Road, Churubusco, NY	Owner of property Option: i. Under \$5,000 Lease: vi. \$250,000 to under \$500,000
Marble River, Town of Clinton, Clinton County	Steven Matthews  Lester W. Matthews, father, and Marjorie R. Matthews, mother  Allan Matthews, brother	Highway Superintendent, Town of Clinton, Clinton County, 02/01/77-present	None  Lease Option Agreement on 11/28/05 and a Lease Agreement effective 10/09/07 and a Conservation Easement on 10/29/07  Lease Option Agreement on	n/a  38.-1-13.1 370 Route 189, Churubusco, NY  53.-1-4 and 53.-1-5 249 Route 189,	No known interest in his relatives' agreements by Steven Mathews. Interests of Mr. Mathews' relatives are detailed below.  Option: ii. \$5,000 to under \$20,000 Lease: viii. \$1,000,000 or higher Conservation Easement: i. Under \$5,000  Option: i. Under \$5,000

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			11/28/05 and a Lease Agreement on 10/16/07 and a second Lease Agreement on 12/15/11	Churubusco, NY  23.-1-10, 724 Route 189,Churubusco, NY	Lease: iv. \$60,000 to under \$100,000  Lease 2: vii. \$500,000 to under \$1,000,000

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Marble River, Town of Clinton, Clinton County	Gerald Miller	Board of Assessment Review Member, Town of Clinton, Clinton County, 04/10/77-present	Lease option agreement effective 11/29/05 and a Lease agreement effective 01/01/08	8.-1-11 and 8.-1-13 211 Liberty Pole Road, Churubusco, NY	Owner of property Option: iii. \$20,000 to under \$60,000 Lease: vi. \$250,000 to under \$500,000
Marble River, Town of Clinton, Clinton County	Richard Harriman	NACS School Board Member, Town of Ellenburg, Clinton County, 07/01/09-present	Lease Option Agreement effective 04/06/06 and a Lease Agreement effective 10/14/08	23.-1-11 748-750 Route 189 Churubusco, NY	Owner of property Option: ii. \$5,000 to under \$20,000 Lease: iv. \$60,000 to under \$100,000
Marble River, Town of Clinton, Clinton County	Daniel LaClair	Town Supervisor, Town of Clinton, Clinton County, 01/01/2010-Present	Land Lease Option effective 10/07/04 and a Land Lease effective 09/18/07	52.-1-20.2 470 Campbell Road, Churubusco, NY	Owner of property Option: ii. \$5,000 to under \$20,000 Lease: vi. \$250,000 to under \$500,000
	Bonnie LaClair, Wife	See above	See Above	See above	Co-owner of property (see range above)

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
Marble River, and North Slope, Town of Ellenburg, Clinton County	Gary Bombard; see below	Former Town Board Member, Town of Ellenburg, Clinton County, 01/01/01-12/31/09	Lease option effective 01/16/08	70.-2-5 2 Bombard Road, Ellenburg Center, NY	Owner of property Option: ii. \$5,000 to under \$20,000
	Gary Bombard, Jr., son		Lease option agreement effective 05/09/06	70.-1-25 142 Bombard Road, Ellenburg Center, NY	No known interest by Gary Bomabard in son's Gary Bombard, Jr's option: ii. \$5,000 to under \$20,000
	Donald Bombard, brother		Lease option agreement effective 05/08/06	54.-1-4 and 70.-2-6 4525 Route 11, Ellenburg Depot, NY	No known financial interest by Gary Bomabard in brother's Donald Bombard's option: iv. \$60,000 to under \$100,000
	Anita Bombard, daughter, Tax Assessor for the		None	n/a	No known financial interest by Anita Bombard in any of

<b>Name and location of Wind Farm Project</b>	<b>Name of Municipal Officer and/or his or her Relative</b>	<b>Name of Municipality and Position held (if a Municipal Officer)</b>	<b>Description of Agreement with "Company"</b>	<b>Description of Property</b>	<b>Nature and Scope of Financial Interest in Property</b>
	Town of Ellenburg				the agreements by her relatives.

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
Marble River, Town of Ellenburg, Clinton County	Gerard LaBarre; see below	Former Zoning Board of Appeals Member, Town of Ellenburg, Clinton County, 01/01/06-12/31/09	Lease option agreement effective 09/28/04; Lease agreement effective 09/04/07; Lease agreement effective 09/01/07; and Substation Easement effective 03/31/08	83.-1-1.1 and 83.-1-30 7738 Star Road, Ellenburg Center, NY  82.-1-14.1 7908 Star Road, Ellenburg Center, NY	Co-owner of property Option: ii. \$5,000 to under \$20,000 Lease: vii. \$500,000 to under \$1,000,000 Substation Easement: v. \$100,000 to under \$250,000 Lease: vi. \$250,000 to under \$500,000
	Aleta LaBarre, wife	See above	See above	See above	Co-owner of property (See range above)
	Diane R. Vaincourt, sister		Lease option agreement effective 11/02/06; Lease agreement effective 10/08/07; and another Lease agreement effective 09/01/07.	82.-1-14.1 7908 Star Road, Ellenburg Center, NY  97.-1-15.1 7837 Star Road, Ellenburg Center, NY	Gerard LaBarre is a co-owner in the property leased on 09/01/07 (see range above) No known financial interest by Mr. LaBarre in sister's Diane R. Vaincourt's Option: i. Under

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
					\$5,000 Lease: iv. \$60,000 to under \$100,000

<b>Name and location of Wind Farm Project</b>	<b>Name of Municipal Officer and/or his or her Relative</b>	<b>Name of Municipality and Position held (if a Municipal Officer)</b>	<b>Description of Agreement with "Company"</b>	<b>Description of Property</b>	<b>Nature and Scope of Financial Interest in Property</b>
Marble River, Town of Ellenburg, Clinton County	Francis LaClair; Janet LaClair, wife	Zoning Board of Appeals, Chairman, Town of Ellenburg, Clinton County, 01/01/04-06/01/2011	Lease option agreement effective 10/05/04; Lease agreement effective 10/01/07	83.-1-29, 97.-1-14 and 97.-1-12.1 7741 Star Road, Ellenburg Center, NY	Co-owner of property Option: ii. \$5,000 to under \$20,000 Lease: viii. Over \$1,000,000
Marble River, and North Slope, Town of Ellenburg, Clinton County	Patricia J. LeClair; Julian Leclair, husband	Former Tax Collector, Town of Ellenburg, Clinton County, 01/01/02-12/31/06	Lease option agreement effective 12/13/06	84.-1-18.2 and 84.-1-25.2 Star Road, Route 190, Ellenburg Center, NY	Co-owner of property Option: iii. \$20,000 to under \$60,000
Marble River, and North Slope, Town of Ellenburg, Clinton County	Stewart Seguin	Assessor, Town of Ellenburg, Clinton County, 01/01/01-present	Lease Option effective 12/06/07	85.-2-7.1 and 85.-2-7.2 6364 Military Turnpike Ellenburg Depot, NY	Owner of property Option: iv. \$60,000 to under \$100,000
Marble River, Town of Clinton, Clinton County	Shirley Parent	Town of Clinton Wind Energy Planning Facilities Board, Town of Clinton, Clinton County, 08/01/2010-present	Collection & Distribution Line Easement (2) effective 05/26/11 and Collection & Distribution Line Easement (1) effective 11/07/06  Setback Easement	38.-1-1, 37 Jones Road, Churubusco, NY  37.-1-6,	No known interest by Shirley Parent Easement (2): ii. \$5,000 to under \$20,000 Easement (1): iv. \$60,000 to under \$100,000  Setback: iv. \$60,000

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
			Effective 12/14/11		to under \$100,000
Marble River, and North Slope, Town of Ellenburg, Clinton County	James McNeil Donald McNeil, brother	Former Town Supervisor, Town of Ellenburg, Clinton County, 01/01/06-12/31/09	Donald McNeil, James McNeil's brother, signed a Lease option agreement effective 10/15/04 and a Conservation Easement effective 10/30/07 and a Lease agreement effective 10/14/08	83.-1-3.1 7576 Star Road, Ellenburg Center, NY	No known interest by James MacNeil. Mr. MacNeil's brother. Donald MacNeil has: Option: iii. \$20,000 to under \$60,000 Lease: viii. \$1,000,000 or higher Conservation Easement: i. Under \$5,000
Marble River, Town of Ellenburg, Clinton County	Richard Pearson; Kathleen E. Pearson	Former Town Board Member, Town of Ellenburg, Clinton County, 12/12/05-12/31/06  Town Supervisor, Town of Ellenburg, Clinton County, 01/01/2010-12/31/2011	Lease option effective 10/22/04 and a Lease option effective 01/28/05, both of which were terminated on 11/03/05.	112.-1-7.2, 112.-2-1 and 112-1-7.11 Tacey Road, Ellenburg Center, NY	Co-owner of property Option 1: i. Under \$5,000 Option 2: i. Under \$5,000

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Marble River, Town of Ellenburg, Clinton County	Tourville, James; Tourville, Gerald, Brother	Code Enforcement Officer, Town of Ellenburg, 01/03/08-present	Lease option effective 10/07/04 and a Lease agreement effective 09/11/07	98.-1-30  7811 Star Road, Ellenburg Center, NY	No known interest by James Tourville. Mr. Tourville's brother, Herald Tourville's brother's interests: Option: i. Under \$5,000 Lease: vii. \$500,000 to under \$1,000,000
Pomfret, Town of Pomfret, Chautauqua County	James Oakes, Jr.; Judith A. Oakes, wife   James E. Oakes, III, Pamela M. Maslak, and Kimberly Stotz, children	Highway Superintendent, Town of Pomfret, Chautauqua County, 12/1974-present	Land lease agreement effective 05/06/08   Same as above	Parcel #129-4-15 & 129-4-17  Property address: 4683 Webster Rd., Fredonia, NY 14063	Co-owner of property with wife and children iii. \$20,000 to under \$60,000  Same as above
Pomfret, Town of Pomfret, Chautauqua County	Frank Lewandowski; Raymond Lewandowski, brother (see below)	Zoning Board of Appeals Member, Town of Pomfret, Chautauqua County,	Land lease agreement effective 06/30/08	Parcel #s147-3-2 and 147-3-3 Property address: Stone Rd., Fredonia, NY	Co-owner of property iii. \$20,000 to under \$60,000

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
	David T. Lewandowski, brother  James E. Lewandowski, brother	1/1990-present	Land lease agreement effective 06/30/08  Land lease agreement effective 06/30/08	14063  Parcel #s146-2-51 and 146-1-32 Property address: Chautauqua Rd., Fredonia, NY 14063	Co-owner of property iii. \$20,000 to under \$60,000  Co-owner of property iii. \$20,000 to under \$60,000
Pomfret, Town of Pomfret, Chautauqua County	Raymond Lewandowski (see above)	Zoning Board of Appeals Member, Town of Pomfret, Chautauqua County, 06/2003-present	Land lease agreement effective 06/30/08 and 08/15/08	Parcel #163-2-1 Property address: Chautauqua Rd., Fredonia, NY 14063	Owner and Co-owner of property iii. \$20,000 to under \$60,000
Pomfret, Town of Pomfret, Chautauqua County	Joseph F. Conti; Lorraine B. Conti, wife	Police Officer in the Village of Fredonia, Chautauqua County, 05/2009-present	Transmission Easement effective 07/24/08	Parcel #131-1-34.2 Property address: 3644 Route 83, Fredonia, NY 14063	Co-owner of property ii. \$5,000 to under \$20,000

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Maple Ridge <sup>1</sup> , Lewis County	Randy L. Bellinger; Mary F. Bellinger, wife	Harrisburg Planning Board Member, 2009-present	Lease agreement dated 1/25/05	Parcel #s 192-3-6.12, 192-1-5, 192-2-19.1, & 192-2-16.1  Property address: Town of Harrisburg, Lewis County	Co-owner of property viii. \$1,000,000 or higher

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<sup>1</sup> Maple Ridge wind farm project is owned by Horizon Wind Energy LLC (“Horizon”) jointly with Iberdrola Renewables, Inc. through their partly owned subsidiaries Flat Rock Wind Power LLC and Flat Rock Windpower II, LLC. The Maple Ridge project consists of multiple phases which are consolidated for the purposes of historical disclosure only.

<b>Name and location of Wind Farm Project</b>	<b>Name of Municipal Officer and/or his or her Relative</b>	<b>Name of Municipality and Position held (if a Municipal Officer)</b>	<b>Description of Agreement with "Company"</b>	<b>Description of Property</b>	<b>Nature and Scope of Financial Interest in Property</b>
Maple Ridge, Lewis County	Robert F. Delaplain; Anna F. Delaplain, wife	Harrisburg Town Planning Board Member, 2001-2005	Lease agreement dated 7/6/05; Collection Line Easement dated 9/8/05	Parcel #s 209-1-6, 209- 1-19.111 & 209-1-7.112  Property address: Town of Harrisburg, Lewis County	Co-owner of property viii. \$1,000,000 or higher
Maple Ridge, Lewis County	Amy Green; Verne J. Green, spouse	Harrisburg Town Clerk, [dates unknown]	Collection Line Easement dated 2/10/05	Parcel #191-2-11  Property address: Town of Harrisburg, Lewis County	Co-owner of property iii. \$20,000 to under \$60,000
Maple Ridge, Lewis County	Stephen N. Bernat; Evelyn C. Bernat, wife	Harrisburg Town Supervisor, 1990- 2003, 2008-present	Lease agreement dated 11/15/05	Parcel #s 175-2-7, 176- 1-11 & 192-2-3  Property address: Town of Harrisburg, Lewis County	Co-owner of property viii. \$1,000,000 or higher

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
Maple Ridge, Lewis County	Loren Lyndaker	Harrisburg Planning Board Member, 01/2002-12/2006; Harrisburg Town Councilman, 01/2007-present, Harrisburg Deputy Town Supervisor, 2009-present	Neighbor Agreement dated 11/5/03	Parcel #175-2-10.11  Property address: Town of Harrisburg, Lewis County	Owner of Property iii. \$20,000 to under \$60,000
Maple Ridge, Lewis County	Charlotte Wheeler; Allyn F. Wheeler, husband	Charlotte Wheeler, Harrisburg Council Member, 2002-2005; Allyn F. Wheeler, Harrisburg Zoning Board of Appeals Member, 2009-present	Neighbor Agreement dated 9/15/03	Parcel #175-1-22  Property address: Town of Harrisburg, Lewis County	Co-owner of property iii. \$20,000 to under \$60,000

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
Maple Ridge, Lewis County	Paul (f/k/a Clarence) A.Widrick; Dawn V. Widrick, spouse	Harrisburg Zoning Board of Appeals Member, 2004, 2009-present	Lease Agreement dated 11/17/05 conveyed to Paul A. Widrick and Dawn V. Widrick by deed on 01/01/06 from Clarence R. Widrick and Beulah Y. Widrick	Parcel #193-2-1.1 Property address: Town of Martinsburg, Lewis County	Co-owner of property viii. \$1,000,000 or higher
Maple Ridge, Lewis County	Edward A.Yancey	Harrisburg Zoning Board of Appeals Member, 2004-2008, 2009-present	Lease dated 11/23/05 with Yancey Family Trust c/o Edward Yancey Trustee	Parcel #s 193-1-1.11, 192-2-11, 192-2-8, 192-2-7 & 192-2-6  Property address: Town of Harrisburg, Lewis County	As trustee of the Yancey Family Trust viii. \$1,000,000 or higher

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
Maple Ridge, Lewis County	William J. Burke; Patricia R. Burke, spouse	Chairman, Lowville Zoning Board of Appeals Member, 2001-2009; County Legislator, 2008-2009	Lease dated 2/10/05; Right of Way Grant dated 3/24/08; Exchange Agreement dated 3/24/08 (Flat Rock Windpower LLC transferred a parcel of land to Burke in exchange for the Right of Way Grant above and another parcel of land that Flat Rock owned); Lease dated 11/10/05 ; Collection Line Easement dated 2/1/05	Parcel #s 210-3-6, 210-3-7, 210-3-3 & 210-3-5.1  Property address: Town of Lowville, Lewis County	Co-owner of property viii. \$1,000,000 or higher
Maple Ridge, Lewis County	Richard J. Arthur; Sandra J. Arthur	Watson Zoning Board Member,	Lease agreement dated 1/26/05	Parcel #256-1-9  Property address: Town of Martinsburg, Lewis	Co-owner of property vii. \$300,000 to under \$1,000,000

Name and location of Wind Farm Project	Name of Municipal Officer and/or his or her Relative	Name of Municipality and Position held (if a Municipal Officer)	Description of Agreement with "Company"	Description of Property	Nature and Scope of Financial Interest in Property
		2003-present		County	
Maple Ridge, Lewis County	Gary P. Rosiczkowski; Elaine Rosiczkowski	Lewis County Legislator, 2003-2005	Lease agreement dated 1/27/05; Collection Line Easement dated 3/23/04	Parcel #s 241-1-20 & 241-1-20  Property address: Town of Martinsburg, Lewis County	Co-owner of property Lease: v. \$100,000 to under \$250,000 Easement: iii. \$20,000 to under \$60,000
Maple Ridge, Lewis County	Roger Grace	Municipal Office, undisclosed, 09/2008-present	Neighbor agreement dated 10/20/06.	Parcel #s 192-2-1 & 192-2-17.1  Property address: 8057 Seven by Nine Road; Copenhagen, NY 13626	Co-owner of property ii. \$5,000 to \$20,000